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DOCKET TO MS303531.02/MSFTP453USA

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent app	lication of
Applicant: Serial No.: Filed: For:	Eric J. Horvitz 10/611,491 June 30, 2003 BOUNDED-DEFERRAL POLICIES FOR GUIDING THE TIMING OF ALERTING, INTERACTION AND COMMUNICATIONS USING LOCAL SENSORY INFORMATION
Art Unit: Examiner:	2643 Unknown
	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Commissioner for P.O. Box 1450 Alexandria, Virg	
Sir:	
patents, publicate except for: (a) p	to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the ions and other information listed on the attached PTO-1449. A copy of each listed document is enclosed ending applications or (b) those previously cited or submitted to the Office in the following application(s) application relies for an earlier filing date under 35 U.S.C. 120:
Serial No.: Filing Date:	
Applicant(s) hel	ocument, publication or other information for which a date is not given on the attached PTO-1449, leve(s) the same may qualify as "prior" art to this application and should be treated accordingly, although erve(s) the right to contest the prior art status of any document, publication or information, should issue arise.
2. Regardin Statement as ind following docum	g each listed document that is not in the English language, an English-language translation accompanies this icated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the nent(s):
(a)C	opy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
(b)A	ttachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
3. Pursuant	to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):
(a)	Within 3 months of the filing date or date of entry into the National Stage.
(b) <u>X</u>	Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.

After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.

(1) \_\_\_\_\_ The required certification is given below, or

(2) \_\_\_ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or

(3) \_\_ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063.

(d) \_\_\_After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee.

Petition hereby is made for consideration of this Statement and the required certification is indicated below.

(1) \_\_\_ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or

(2) \_\_\_ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 50-1063.

4. Certification (if applicable)

- (a) X The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- (b) \_\_\_The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.
- 5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1063.

Respectfully Submitted,

Himanshu S. Amin

Reg. No. 40,894

24<sup>TH</sup> Floor, National City Center 1900 East 9<sup>th</sup> Street Cleveland, Ohio 44114 (216) 696-8730

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Date: 7-20-041

Himanshu S Amin

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	bstitute for form 1449A/PTO			Complete if Known		
				Application Number	10/611,491	
SUPPL	EMENTAL INFORMATION	N		Filing Date	June 30, 2003	
DISCLOSURE STATEMENT				First Named Inventor	Eric J. Horvitz	
BY AP	PLICANT			Art Unit	2643	
	(Use as many sheets as ned	essary	)	Examiner Name	Unknown	
Sheet	1	of_	2	Attorney Docket Number	MS303531.02/MSFTP453USA	

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US- 5,493,692	02-20-1996	Theimer, et al.	
		US- 2002/0087649	07-04-2002	Horvitz	
		US- 2002/0174199	11-21-2002	Horvitz	
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FOREIGN PATENT DOCUMENTS									
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	_			
Initials*	No.¹	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	, Τ°			
		WO 00/36493	06-22-2000	Newell, et al.					
	1	WO 02-39761	05-16-2002	Brooks, et al.					
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for for	m 1449B/PTO			Complete if Known		
				Application Number	10/611,491	
INFORM	MATION D	ISCLO	SURE	Filing Date	June 30, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Eric J. Horvitz	
				Art Unit	2643	
(Us	se as many sheets a	s necessary)		Examiner Name	Unknown	
Sheet	1	of	2	Attorney Docket Number	MS303531.02/MSFTP453USA	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		European Search Report, EP 04 00 0621 mailed 7-5-04	
		NITIN SAWHNEY, et al., "No,adic Radio: Speech and Audio Interaction for Contextual Messaging in Nomadic Environments", ACM Transactions on Computer-Human Interation, ACM, September 1, 2000, pp 353-383	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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